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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/912,270 | 07/24/2001 | Joseph H. Hotchkiss | 1153.011US1 |

CONFIRMATION NO. 4114

FORMALITIES LETTER



OC000000006592656

21186

 SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
 1600 TCF TOWER
 121 SOUTH 8TH STREET
 MINNEAPOLIS, MN 55402

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 ORIGINALLY FILED

Date Mailed: 09/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$270.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1110.**

1/23/2002 MCHAMM1 00000007 00011070

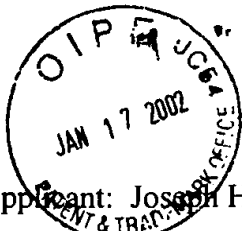
 1 FC:201
 1 FC:204
 1 FC:205

 170.00 US
 140.00 US
 15.00 US
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Section 1030
#3

Applicant: Joseph H. Hotchkiss et al.

Title: CARBON DIOXIDE AS AN AID IN PASTEURIZATION

Docket No.: 1153.011US1

Filed: July 24, 2001

Examiner: Unknown

Serial No.: 09/912,270

Due Date: November 24, 2001

Group Art Unit: 1744

Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

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We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$510.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A signed Combined Declaration and Power of Attorney (3 pgs.).
- ☒ Notice to File Missing Parts (1pg.)

Applicant claims small entity status under 37 C.F.R. 1.27.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

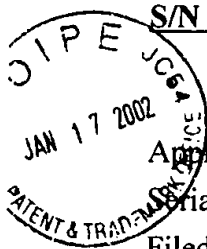
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Warren D. Woessner
Name: Warren D. Woessner
Reg. No. 30,440
WDW:CMG:ajk

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 16th day of November, 2001.

Andrew J. Kaul
Name

Warren D. Woessner
Signature



S/N 09/912,270

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph H. Hotchkiss et al.

Examiner: Unknown

Serial No.: 09/912,270

Group Art Unit: 1744

Filed: July 24, 2001

Docket: 1153.011US1

Title: CARBON DIOXIDE AS AN AID IN PASTEURIZATION

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$510.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 349-9580.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

JOSEPH H. HOTCHKISS ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9580

Date 11-13-01

By Warren D. Woessner

Warren D. Woessner
Reg. No. 30,440
WDW:CMG:ajk

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 16th day of November, 2001.

Andrew J. Kaul
Name

Warren D. Woessner
Signature